

# Non-cyclic sorts for first-order satisfiability (or how to win first-order satisfiability at CASC)

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<sup>1</sup>supported by a Royal Society University Fellowship

## First-order satisfiability

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**The problem:** Given a set of first-order sentences  $S$  check whether  $S$  is satisfiable.

**Complementary to proof finding:** Given a set of first-order sentences check whether it is **unsatisfiable**.

Where satisfiability checking is used?

- ▶ in verification for finding errors in systems
- ▶ in combinatorial reasoning: scheduling, planning, etc.  
for finding solutions
- ▶ in checking consistency of ontologies, theories, axiomatisations
- ▶ disproving conjectures
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## Methods for finite model finding

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General first-order satisfiability is **not recursively enumerable**.

Restrict to finite model finding (FMF).

- ▶ Finite model finding is **recursively enumerable**.
- ▶ But usual first-order reasoning methods such **resolution/superposition** are **incomplete** for finite model finding

Methods for finite model finding are based on encodings into:

- ▶ **Propositional logic** (FINDER, MACE, Paradox)  
[Slaney; McCune; Claessen, Sörensson]  
Paradox has been winning satisfiability at CASC for the last 10 years.
- ▶ **Geometric logic** (Geo) [de Nivelles, Meng]
- ▶ **Effectively propositional logic (EPR)** (DarwinFM, iProver)  
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## Effectively Propositional Logic (EPR)

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**EPR:** No functions except constants:  $P(x, y) \vee \neg Q(c, y)$

Transitivity:  $\neg P(x, y) \vee \neg P(y, z) \vee P(x, z)$

Symmetry:  $P(x, y) \vee \neg P(y, x)$

Verification:

$$\forall A(\text{wren}_{h1} \wedge A = \text{wraddrFunc} \rightarrow \\ \forall B(\text{range}_{[35,0]}(B) \rightarrow (\text{imem}'(A, B) \leftrightarrow \text{iwrite}(B))))).$$

Applications many problems can be encoded into the EPR:

- ▶ Hardware Verification (Intel)
- ▶ Planning/Scheduling
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**Basic idea:** Eliminate functions

- ▶  $C[t] \Rightarrow t \neq x \vee C[x]$
- ▶  $Q(f(g(x)))$   
 $\Rightarrow \neg P_f(y_1, y_2) \vee \neg P_g(x, y_1) \vee Q(y_2)$

Step2. Replace functions by predicates:

- ▶  $f(x_1, \dots, x_n) \simeq y$  can be represented by  $P_f(x_1, \dots, x_n, y)$  provided:
- ▶  $P_f$  is right-unique:

$$\forall \bar{x}, y[(P_f(\bar{x}, y) \wedge P_f(\bar{x}, y')) \rightarrow y \simeq y']$$

function-free EPR (possible to drop)

- ▶  $P_f$  right-total:

$$\forall \bar{x} \exists y P_f(\bar{x}, y)$$

for finite domains can be expressed using domain axiom:

$$\forall \bar{x} [P_f(\bar{x}, 1) \vee \dots \vee P_f(\bar{x}, n)]$$

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**Step1. Flattening:** replacing **complex** terms by **flat** terms:

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## Is flattening always good ?

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### Flattening

- ▶ essential for getting **minimal wrt. size** models
- ▶ **but** flattening can be **bad** for performance of reasoning systems

**Example:** trivial propositional problem

$$\begin{array}{ccccccc} P_1(c_1) & \neg P_1(c_2) & \neg P_1(c_3) & \dots & \neg P_1(c_n) \\ \neg P_2(c_1) & P_2(c_2) & \neg P_2(c_3) & \dots & \neg P_2(c_n) \\ & & \dots & & \\ \neg P_n(c_1) & \neg P_2(c_2) & \neg P_2(c_3) & \dots & P_n(c_n) \end{array}$$

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Domain  $k$ :  $P_{c_1}(1) \vee \dots \vee P_{c_1}(k) \quad \dots \quad P_{c_n}(1) \vee \dots \vee P_{c_n}(k)$

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## *Extension EPR into many-sorted logic*

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Observe: if a problem is EPR we **do not need** to apply flattening.

Can we do more?

EPR is decidable because:

- ▶ the set of all ground terms (**the Herbrand universe**) is **finite**

In **unsorted** first-order logic **Herbrand universe is finite** if and only if **all function symbols are constants**.

Observation: [Abadi, Rabinovich, Sagiv] In the presence of **sorts** the **Herbrand universe can be finite** even in the presence of non-constant function symbols, under certain conditions.



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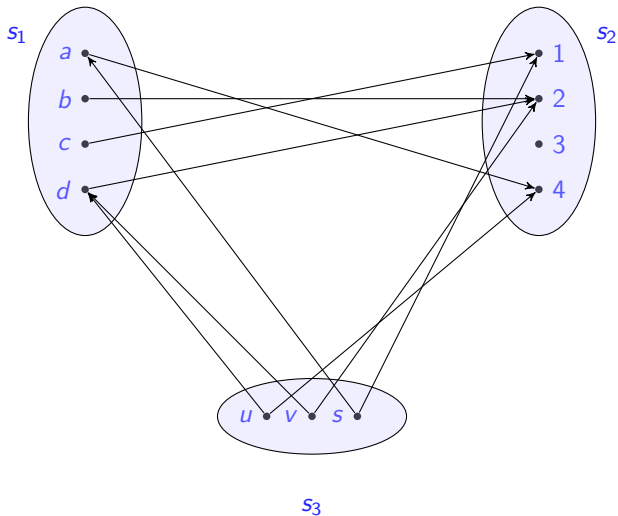
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## Non-cyclic sorts

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Restriction: no cyclic dependencies

## Non-cyclic fragment

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Consider: a signature  $\Sigma = \langle \mathcal{S}, \mathcal{F}, \mathcal{P} \rangle$

- ▶ A sort dependency graph  $SD(\Sigma) = \langle \mathcal{S}, \rightarrow \rangle$

where  $s_i \rightarrow s$  iff there is  $f \in \mathcal{F}$  such that

$$f : s_1 \times \dots \times s_i \times \dots \times s_n \mapsto s$$

- ▶ A signature is non-cyclic if there are no cycles in its sort dependency graph.
- ▶ The non-cyclic clausal fragment consists of sets of clauses over a non-cyclic signature.

Proposition. In many-sorted logic the Herbrand universe is finite if and only if the signature is non-cyclic.

Theorem. Non-cyclic fragment is decidable by instantiation based-methods.

Theorem. There is a linear-time algorithm for checking whether a clause set is in the non-cyclic fragment.

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where  $s_i \rightarrow s$  iff there is  $f \in \mathcal{F}$  such that

$$f : s_1 \times \dots \times s_i \times \dots \times s_n \mapsto s$$

- ▶ A signature is non-cyclic if there are no cycles in its sort dependency graph.
- ▶ The non-cyclic clausal fragment consists of sets of clauses over a non-cyclic signature.

**Proposition.** In many-sorted logic the Herbrand universe is finite if and only if the signature is non-cyclic.

**Theorem.** Non-cyclic fragment is decidable by instantiation based-methods.

**Theorem.** There is a linear-time algorithm for checking whether a clause set is in the non-cyclic fragment.

## *Back to finite model finding*

---

**Observe:** We do not need to apply flattening if the problem is in the non-cyclic fragment.

Unfortunately:

- ▶ Many problems are *almost* in the non-cyclic fragment.

Main idea: decompose the sort dependency graph into

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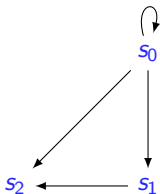
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## Sort decomposition

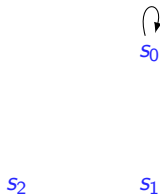
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**Non-cyclic decomposition:** Decompose all sorts into cyclic and non-cyclic.

Sort dependency graph



Non-cyclic decomposition



**Theorem** There is a linear-time algorithm for non-cyclic decomposition.

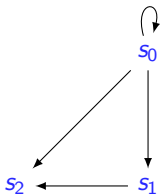
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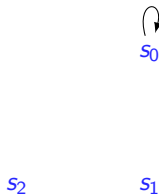
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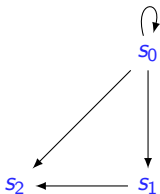
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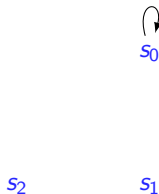
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# Sort-restricted finite model finding

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Consider:

- ▶ A set of clauses  $S$  over a signature  $\Sigma$  and
- ▶ the non-cyclic decomposition of  $\Sigma$ .

Sort-restricted finite model finding:

- ▶ restrict flattening to terms of cyclic sorts,
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*Theorem.* Sort-restricted finite-model finding is complete for finite satisfiability.

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**Note:** Cyclic and non-cyclic sorts can be **interleaved** inside a term.

**Consider:** a signature with function symbols  $f, g, h, c$  with one

**cyclic sort:**  $valSort(g) = argSort(1, g) = argSort(h, 1) = s$

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$$Domain : P_g(x, y, 1) \vee \dots \vee P_g(x, y, n)$$

## Sort-restricted vs EPR transformation

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EPR-transformation:

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TPTP benchmarks: 15,550 first-order problems.

Unfortunately: all these problems are **unsorted**.

Solution:

- ▶ infer sorts automatically (linear-time)  
[Claessen, Sörensson; Claessen, Lillieström, Smallbone]
- ▶ 4,090 problems have more than one inferred sort.

Non-cyclic/EPR sorts in TPTP

- ▶ 1,383 pure EPR problems
- ▶ 2,578 problems have at least one non-cyclic sort
- ▶ 56,679 collective number of sorts
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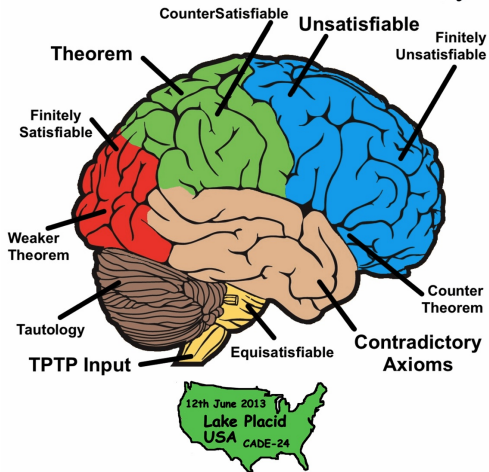
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# CASC-24



iProver – an **instantiation-based** reasoner for first-order logic

- ▶ based on the **Inst-Gen calculus**
- ▶ modular combination of first-order reasoning with MiniSAT
- ▶ redundancy elimination, indexing, ...
- ▶ implemented in OCaml
- ▶ **sort-restricted finite model finding**, symmetry reduction

First-order satisfiability (FNT) 150 problems

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prob	122	99	96	79	79	78
time	52	2	25	20	29	30

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- ▶ There is **flexibility** which sorts to flatten, what is the best way?
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