

Logically Constrained Term Rewriting Systems

Cynthia Kop and Naoki Nishida

Sept 20, 2013

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Frontiers of **Combining** Systems

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Term Rewriting

and

SMT

Term Rewriting: Traditional Example

$$\begin{array}{lcl} \text{sum}(0) & \rightarrow & 0 \\ \text{sum}(s(x)) & \rightarrow & \text{plus}(s(x), \text{sum}(x)) \end{array}$$

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Term Rewriting: Less Traditional Example

Sum Using Integers

Integers: $0, s(0), s(s(0)), \dots, p(0), p(p(0)), \dots$

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$\text{plus}(s(x), y)$	\rightarrow	$s(\text{plus}(x, y))$
$\text{plus}(p(x), y)$	\rightarrow	$p(\text{plus}(x, y))$
$\text{plus}(0, y)$	\rightarrow	y
$s(p(x))$	\rightarrow	x
$p(s(x))$	\rightarrow	x

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$geq(x, y)$	\rightarrow	$geqhelp(x, y, 0, 0)$
$geqhelp(s(x), y, z, a)$	\rightarrow	$geqhelp(x, y, s(z), a)$
$geqhelp(p(x), y, z, a)$	\rightarrow	$geqhelp(x, y, z, s(a))$
$geqhelp(0, s(x), y, z)$	\rightarrow	$geqhelp(0, x, y, s(z))$
$geqhelp(0, p(x), y, z)$	\rightarrow	$geqhelp(0, x, s(y), z)$
$geqhelp(0, 0, s(x), s(y))$	\rightarrow	$geqhelp(0, 0, x, y)$
$geqhelp(0, 0, x, 0)$	\rightarrow	$true$
$geqhelp(0, 0, 0, s(x))$	\rightarrow	$false$

Term Rewriting with Constraints

$$\begin{array}{ll} \text{sum}(x) & \rightarrow 0 & [x \leq 0] \\ \text{sum}(x) & \rightarrow \text{plus}(x, \text{sum}(\text{plus}(x, -1))) & [x > 0] \end{array}$$

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Term Rewriting with Constraints and Internal Calculation

$$\begin{array}{ll} \text{sum}(x) \rightarrow 0 & [x \leq 0] \\ \text{sum}(x) \rightarrow x + \text{sum}(x - 1) & [x > 0] \end{array}$$

Modelling Imperative Programs

```
int sum(int x) {  
    int i = 0, z = 0;  
    for (i = 0; i <= x; i++)  
        z += i;  
    return z;  
}
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$$\begin{aligned} \text{sum}(x) &\rightarrow u(x, 0, 0) \\ u(x, i, z) &\rightarrow u(x, i + 1, z + i) \quad [i \leq x] \\ u(x, i, z) &\rightarrow z \quad [\neg(i \leq x)] \end{aligned}$$

Modelling Imperative Programs

```
int fac(x) {
  if (x <= 0) return 1;
  return x * fac(x-1);
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int facsum(int x) {
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$$\begin{array}{ll}
 \text{sum}(x) & \rightarrow u(x, 0, 0) \\
 u(x, i, z) & \rightarrow u(x, i + 1, z + \text{fac}(i)) \quad [i \leq x] \\
 u(x, i, z) & \rightarrow z \quad [\neg(i \leq x)] \\
 \text{fac}(x) & \rightarrow x * \text{fac}(x - 1) \quad [\neg(x \leq 0)] \\
 \text{fac}(x) & \rightarrow 1 \quad [x \leq 0]
 \end{array}$$

Definitions of Rewriting with Integers and/or Constraints

- integer TRSs
- \mathbb{Z} -TRSs
- (Nagoya) Constrained TRSs

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Integer TRSs: Idea

- infinite signature: add all integers, \top , \perp and operators
 $+$, $-$, $*$, $/$, $\%$, $>$, \geq , $<$, \leq , $=$, \neq , \wedge , \Rightarrow
- infinite rules: add eg rules
 $1 + 1 \rightarrow 2$
 $6 \geq 9 \rightarrow \text{false}$
 $\text{true} \wedge \text{true} \rightarrow \text{true}$

Integer TRSs: Example

$$\begin{aligned} \text{sum}(x) &\rightarrow \text{sumhelp}(0 \geq x, x) \\ \text{sumhelp}(\text{true}, x) &\rightarrow 0 \\ \text{sumhelp}(\text{false}, x) &\rightarrow x + \text{sum}(x - 1) \end{aligned}$$

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(plus an infinite set of rules generally omitted)

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⇒ we need some way to keep track of “x gets closer to 0”

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\mathbb{Z} -TRSs: Ideas

- Pre-defined symbols: $0, 1 : \text{int}$, $+, - : [\text{int} \times \text{int}] \Rightarrow \text{int}$
- User-given symbols with sorts (e.g. `sum`)
- Constraints using symbols $=, >, \geq, \neg, \wedge$, variables and int-symbols

\mathbb{Z} -TRSs: Example

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$$\begin{aligned} \text{sum}(x) &\rightarrow 0 && [x \leq 0] \\ \text{sum}(x) &\rightarrow x + \text{sum}(x - 1) && [x > 0] \end{aligned}$$

Reduces for instance $\text{sum}(1 + 1)$ to $(1 + 1) + ((1 + 1) - 1) + 0$.

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(e.g. for a rule $f(x) \rightarrow x + 1$, a term $f(f(0))$ can only be reduced innermost)

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Rewrites e.g. $\text{sum}(s(s(0)))$ to $s(s(s(0)))$.

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⇒ reals are going to give problems
- “basic” operations not basic
⇒ impractical notion of complexity

Observations

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- almost all defined only for integers
- incompatible formalisms; results do not transfer

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HOW STANDARDS PROLIFERATE: (SEE: A/C CHARGERS, CHARACTER ENCODINGS, INSTANT MESSAGING, ETC.)



Source: <http://www.xkcd.com>

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- **terms** are formed from function symbols and sorted **variables**
in a sort-respecting way
e.g. $\text{sum}(0, 1)$ and $\text{concat}(\text{"hello"}, \text{"world"})$, but not
 $\text{concat}(\text{"hello"}, 3)$

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instantiated constraints must be **valid**

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instantiated constraints must be **valid**
- theory symbols applied on values can be reduced to their value

Rules and rewriting

- **constraints** are terms built of theory symbols, with sort bool
e.g. $3 > x$, $isprime(17 + 8)$
- **rules** are triples $l \rightarrow r [\varphi]$ with l, r terms and φ a constraint
- to rewrite, **instantiate** variables in the rules by terms
variables in the constraint must be instantiated by **values**
instantiated constraints must be **valid**
- theory symbols applied on values can be reduced to their value
e.g. $3 + 5 \rightarrow 8$ and $isprime(17 + 8) \rightarrow isprime(25) \rightarrow false$

Reducing sum

$$\begin{array}{l} \text{sum}(x) \rightarrow 0 \quad [x \leq 0] \\ \text{sum}(x) \rightarrow x + \text{sum}(x - 1) \quad [x \geq 0] \end{array}$$

Reducing sum

$$\begin{array}{l} \text{sum}(x) \rightarrow 0 \quad [x \leq 0] \\ \text{sum}(x) \rightarrow x + \text{sum}(x - 1) \quad [x \geq 0] \end{array}$$

sum(2)

Reducing sum

$$\text{sum}(x) \rightarrow 0 \quad [x \leq 0]$$

$$\text{sum}(x) \rightarrow x + \text{sum}(x - 1) \quad [x \geq 0]$$

$$\begin{aligned} & \text{sum}(2) \\ \rightarrow & 2 + \text{sum}(2 - 1) \end{aligned}$$

Reducing sum

$$\text{sum}(x) \rightarrow 0 \quad [x \leq 0]$$

$$\text{sum}(x) \rightarrow x + \text{sum}(x - 1) \quad [x \geq 0]$$

$$\begin{aligned} & \text{sum}(2) \\ \rightarrow & 2 + \text{sum}(2 - 1) \quad X \end{aligned}$$

Reducing sum

$$\begin{aligned} \text{sum}(x) &\rightarrow 0 && [x \leq 0] \\ \text{sum}(x) &\rightarrow x + \text{sum}(x - 1) && [x \geq 0] \end{aligned}$$

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Reducing sum

$$\text{sum}(x) \rightarrow 0 \quad [x \leq 0]$$

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$$\text{sum}(2)$$

$$\rightarrow 2 + \text{sum}(2 - 1)$$

$$\rightarrow 2 + \text{sum}(1)$$

$$\rightarrow 2 + (1 + \text{sum}(1 - 1))$$

Reducing sum

$$\text{sum}(x) \rightarrow 0 \quad [x \leq 0]$$

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sum(2)

$$\rightarrow 2 + \text{sum}(2 - 1)$$

$$\rightarrow 2 + \text{sum}(1)$$

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$$\rightarrow 2 + (1 + \text{sum}(0))$$

Reducing sum

$$\text{sum}(x) \rightarrow 0 \quad [x \leq 0]$$

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$$\rightarrow 2 + (1 + \text{sum}(0))$$

$$\rightarrow 2 + (1 + 0)$$

Reducing sum

$$\text{sum}(x) \rightarrow 0 \quad [x \leq 0]$$

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sum(2)

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Reducing sum

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$$\rightarrow 2 + (1 + 0)$$

$$\rightarrow 2 + 1$$

$$\rightarrow 3$$

(Naive) Ackerman Function

$$\begin{aligned} \text{ack}(m, n) &\rightarrow \text{ack}(m - 1, \text{ack}(m, n - 1)) && [m \neq 0 \wedge n \neq 0] \\ \text{ack}(m, 0) &\rightarrow \text{ack}(m - 1, 1) && [m \neq 0] \\ \text{ack}(0, n) &\rightarrow n + 1 \end{aligned}$$

(Naive) Ackerman Function

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With $1, -, +$ interpreted over **16-bit bitvectors**.

Bubblesort

```
swap(arr, i, j) {  
  int tmp = arr[i];  
  arr[i] = arr[j];  
  arr[j] = tmp;
```

```
}
```

```
bubblesort(arr, n) {  
  for (int i = 0; i < n; i++)  
    for (int j = i+1; j < n; j++)  
      if (arr[i] > arr[j]) swap(arr,i,j);
```

```
}
```

Bubblesort

```
swap(arr, i, j) {  
  int tmp = arr[i];  
  arr = arr[i ↦ arr[j]]  
  arr = arr[j ↦ tmp];  
  return arr;  
}
```

```
bubblesort(arr, n) {  
  for (int i = 0; i < n; i++)  
    for (int j = i+1; j < n; j++)  
      if (arr[i] > arr[j]) arr = swap(arr,i,j);  
  return arr;  
}
```

Bubblesort

Sorts: IntArray and Int

$$\begin{aligned}
 \text{bubblesort}(arr, n) &\rightarrow \text{loop1}(arr, n, 0) \\
 \text{loop1}(arr, n, i) &\rightarrow \text{loop2}(arr, n, i, 0) \quad [i < n] \\
 \text{loop1}(arr, n, i) &\rightarrow arr \quad [i \geq n] \\
 \text{loop2}(arr, n, i, j) &\rightarrow \text{loop2}(arr, n, i, j + 1) \\
 &\quad [j < n \wedge \text{get}(arr, i) \leq \text{get}(arr, j)] \\
 \text{loop2}(arr, n, i, j) &\rightarrow \text{loop2}(\text{swap}(arr, i, j), n, i, j + 1) \\
 &\quad [j < n \wedge \text{get}(arr, i) > \text{get}(arr, j)] \\
 \text{loop2}(arr, n, i, j) &\rightarrow \text{loop1}(arr, n, i + 1) \quad [j \geq n] \\
 \text{swap}(arr, i, j) &\rightarrow \text{set}(\text{set}(arr, i, \text{get}(arr, j)), j, \text{get}(arr, i))
 \end{aligned}$$

Bubblesort

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 \text{bubblesort}(arr, n) &\rightarrow \text{loop1}(arr, n, 0) \\
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 \text{loop1}(arr, n, i) &\rightarrow arr \quad [i \geq n] \\
 \text{loop2}(arr, n, i, j) &\rightarrow \text{loop2}(arr, n, i, j + 1) \\
 &\quad [j < n \wedge \text{get}(arr, i) \leq \text{get}(arr, j)] \\
 \text{loop2}(arr, n, i, j) &\rightarrow \text{loop2}(\text{swap}(arr, i, j), n, i, j + 1) \\
 &\quad [j < n \wedge \text{get}(arr, i) > \text{get}(arr, j)] \\
 \text{loop2}(arr, n, i, j) &\rightarrow \text{loop1}(arr, n, i + 1) \quad [j \geq n] \\
 \text{swap}(arr, i, j) &\rightarrow \text{set}(\text{set}(arr, i, \text{get}(arr, j)), j, \text{get}(arr, i))
 \end{aligned}$$

Terms With Constraints

$$\begin{array}{ll} \text{sum}(x) \rightarrow 0 & [x \leq 0] \\ \text{sum}(x) \rightarrow x + \text{sum}(x - 1) & [x \geq 0] \end{array}$$

Terms With Constraints

$$\text{sum}(x) \rightarrow 0 \quad [x \leq 0]$$

$$\text{sum}(x) \rightarrow x + \text{sum}(x - 1) \quad [x \geq 0]$$

$$\text{sum}(x) [x \geq 2]$$

Terms With Constraints

$$\text{sum}(x) \rightarrow 0 \quad [x \leq 0]$$

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$$\begin{aligned} & \text{sum}(x) [x \geq 2] \\ \rightarrow & x + \text{sum}(x - 1) [x \geq 2] \quad X \end{aligned}$$

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$$\text{sum}(x) [x \geq 2]$$

$$\rightarrow x + \text{sum}(x - 1) [x \geq 2]$$

$$\rightarrow x + \text{sum}(y) [x \geq 2 \wedge y = x - 1]$$

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$$\rightarrow x + (y + \text{sum}(z)) [x \geq 2 \wedge y = x - 1 \wedge z = y - 1]$$

Terms With Constraints

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$$\text{sum}(x) \ [x \geq 2]$$

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$$\rightarrow x + (y + \text{sum}(y - 1)) \ [x \geq 2 \wedge y = x - 1]$$

$$\rightarrow x + (y + \text{sum}(z)) \ [x \geq 2 \wedge y = x - 1 \wedge z = y - 1]$$

$$\approx x + (y + \text{sum}(z)) \ [x = z + 2 \wedge y = z + 1 \wedge z \geq 0]$$

Terms With Constraints

$$\text{sum}(x) \rightarrow 0 \quad [x \leq 0]$$

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$$\approx x + (y + \text{sum}(z)) [x = z + 2 \wedge y = z + 1 \wedge z \geq 0]$$

$$\text{sum}(x - 1) [\text{true}]$$

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$$\text{sum}(x - 1) [\text{true}] \text{ X}$$

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$$\rightarrow x + \text{sum}(x - 1) [x \geq 2]$$

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$$\approx x + (y + \text{sum}(z)) [x = z + 2 \wedge y = z + 1 \wedge z \geq 0]$$

$$\text{sum}(\text{sum}(3) - 1) [\text{true}]$$

Goals

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- sorts with natural meanings
- no encodings: we include values of underlying set

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- can (mostly) encode or simulate existing formalisms
- all handle typical existing applications (often more easily)

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Flexible:

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Natural:

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General:

- we can use any underlying theory (or theories)
- results work for whichever predicates and functions we use

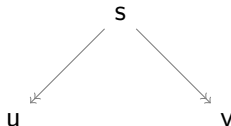
Powerful:

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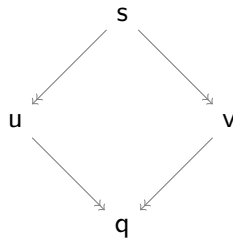
Flexible:

- getting there!

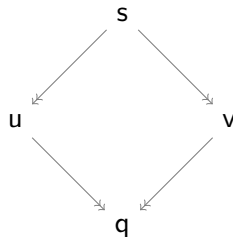
Confluence



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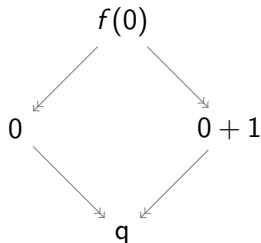


Confluence



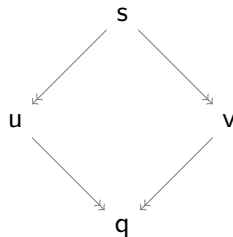
- $f(x) \rightarrow 0 [x \leq 0]$, $f(x) \rightarrow x + 1 [x \geq 0]$ is **not confluent**

Confluence



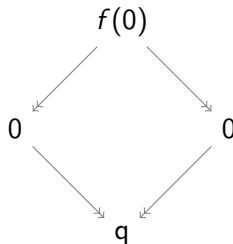
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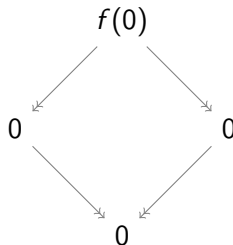
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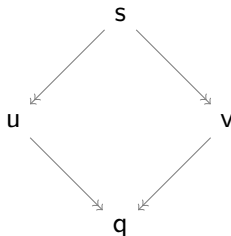
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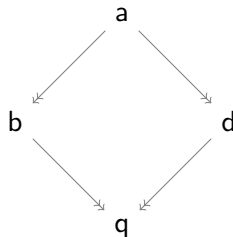
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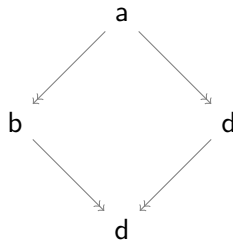
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Orthogonality

Sufficient Conditions for Confluence:

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Sufficient Conditions for Confluence:

- left-linearity

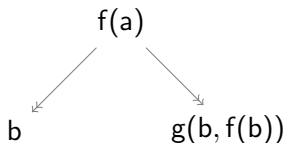
Orthogonality

Sufficient Conditions for Confluence:

- left-linearity

[Klop80]

$$\begin{aligned} a &\rightarrow f(a) \\ f(x) &\rightarrow g(x, f(x)) \\ g(x, x) &\rightarrow b \end{aligned}$$



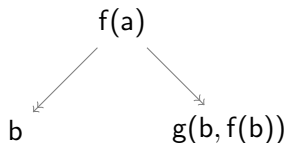
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$$\begin{array}{l} f(x) \rightarrow 0 \quad [x \leq 0] \\ f(x) \rightarrow x + 1 \quad [x \geq 0] \end{array}$$

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$$\begin{array}{l} f(x) \rightarrow r_1 \quad [x \leq 0] \\ f(x) \rightarrow r_2 \quad [x \geq 0] \end{array}$$

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$$f(f(x)) \rightarrow r \text{ [true]}$$

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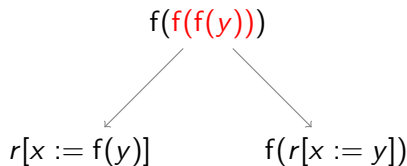
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Sufficient Conditions for Confluence:

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- non-overlapping rules

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Solutions:

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- use existing (or future) SMT-solvers!

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- implement and improve transformation from imperative programs

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int squaresum(int x) {
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$u(x, i, z)$	\rightarrow	0	$[x \leq 0]$
$u(x, i, z)$	\rightarrow	$v(x, i, z)$	$[\neg(x \leq 0)]$
$v(x, i, z)$	\rightarrow	$v(x, i + 1, z + \text{square}(i))$	$[i \neq x]$
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Questions?